

Applicant : Juan Carlos Parodi  
For : APPARATUS AND METHODS FOR REDUCING EMBOLIZATION DURING  
TREATMENT OF CAROTID ARTERY DISEASE

EXPRESS MAIL CERTIFICATION

"Express Mail" mailing label number EL188609176US  
Date of Deposit November 16, 2001

I hereby certify that this transmittal letter and the other papers and fees identified in this transmittal letter as being transmitted herewith are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to the Hon. Commissioner for Patents, Washington, D.C. 20231.

  
Lily Jiang

Hon. Commissioner  
for Patents  
BOX PATENT APPLICATION  
Washington, D.C. 20231

TRANSMITTAL LETTER FOR RULE 53(b)  
CONTINUING PATENT APPLICATION

Sir:

This is a request for filing a ☒ continuation, ☐ divisional, application of pending prior Application No. 09/418,727, (Confirmation No. 9257), filed October 15, 1999.

Transmitted herewith for filing are the ☒ specification; ☒ claims; ☒ abstract; ☒ declaration; ☒ power of attorney; ☒ printEFS data sheet; for the above-identified patent application.

The enclosed declaration is:

- ☐ Newly executed (original or copy).
- ☒ Copy from a prior application (37 C.F.R. § 1.63(d)).
- ☐ A signed statement is attached deleting inventors named in the prior application (37 C.F.R. §§ 1.63(d)(2) and 1.33(b)).
- ☐ The entire disclosure of the prior application, from which a copy of the declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

☒ The prior application, Application No. 09/418,727, filed October 15, 1999, is assigned of record to Arteria Medical Science, Inc.

Also transmitted herewith are:

☒ 5 sheets of:

☐ Formal drawings.

☒ Informal drawings. Formal drawings will be filed during the pendency of this application.

11/16/01  
jc944 U.S. PTO

09/418,727-11/16/01

JC986 U.S. PTO  
09/991417  
11/16/01

☐ An assignment of the invention to \_\_\_\_\_

☐ A check in the amount of \$40.00 to cover the recording fee.

☐ Please charge \$40.00 to Deposit Account No. 06-1075 in payment of the recording fee. A duplicate copy of this transmittal letter is transmitted herewith.

☐ An associate power of attorney.

☐ A certified copy of the priority document, \_\_\_\_\_ application, No. \_\_\_\_\_, filed \_\_\_\_\_.

☒ Preliminary Amendment.

☒ Small entity status is respectfully requested.

☒ The filing fee has been calculated as shown below:

FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
BASIC FEE				\$ 370.00
TOTAL CLAIMS	21 - 20 = 1	x	\$ 09	= \$ 9.00
INDEPENDENT CLAIMS	2 - 3 = 0	x	\$ 42	= \$ 0.00
<input type="checkbox"/> A MULTIPLE DEPENDENT CLAIM		+	\$140	= \$
TOTAL				\$ <u>379.00</u>

☒ A check in the amount of \$ 379.00 in payment of the filing fee is transmitted herewith.

☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16 in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

☐ Please charge \$ \_\_\_\_\_ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.



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